

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ian A. Wilson et al.  
Serial No. :  
Filed : HEREWITH  
Title : SMALL MOLECULE MIMETICS OF ERYTHROPOIETIN

Art Unit :  
Examiner :

Commissioner for Patents  
Washington, D.C. 20231



#3  
Plunkett  
5/4/02

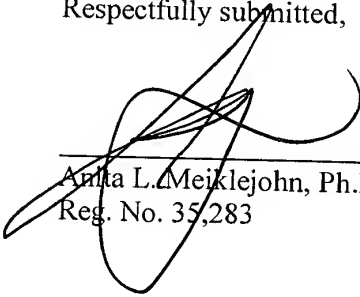
INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed with the application. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 20 DEC 01

  
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December 21, 2001  
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Substitute Form PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
07300-037003

Application No.

**Information Disclosure Statement  
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant  
Ian A. Wilson et al.Filing Date  
HEREWITH

Group Art Unit

11046 U.S. PTO  
10/028564

12/21/01

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,292,654	3/8/1994	Akihiko et al.			
	AB	5,434,796	7/18/1995	Weininger			
	AC	5,436,850	7/25/1995	Bowie et al.			
	AD	5,506,107	4/9/1996	Mulkerrin et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	EP 0 612 846	8/31/1994	EPO				
	AM	WO 94/25055	11/10/1994	WIPO				
	AN	WO 96/40772	12/19/1996	WIPO				
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AQ	Livnah et al. "Functional Mimicry of a Protein Hormone by a Peptide Agonist: The EPO Receptor Complex at 2.8. A" Science 273:464-471, 1996.
	AR	McCarthy, M. "Small Peptide Designed that can Mimic Erythropoietin" The Lancet 348:395, 1996.
	AS	
	AT	

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SUBSTITUTE FORM PTO-1449  
(MODIFIED)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
07300/037002SERIAL NO.  
09/189,745INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use several sheets if necessary)APPLICANT  
Ian A. Wilson et al.FILING DATE  
November 10, 1998

GROUP

1046 U.S. PRO  
10/028564  
12/21/01

(37 CFR 1.98(b))

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5 2 6 5 0 3 0	11/23/93	Skolnick et al.			
AB	5 3 3 1 5 7 3	7/19/94	Balaji et al.			
AC	5 5 5 5 3 6 6	9/10/96	Teig et al.			
AD	5 5 5 7 5 3 5	9/17/96	Srinivasan et al.			
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AL							
AM							
AN							
AO							
AP							

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

AQ	Wrighton et al., "Small Peptides as Potent Mimetics of the Protein Hormone Erythropoietin" Science 273: 458-463, 1996.
AR	
AS	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.